IN THE CIRCUIT COURT OF THE CITY OF ST. LOUIS STATE OF MISSOURI MANDY RICE, D.O., Plaintiff, vs.) Case No. 1722-CC11181 ST. LOUIS UNIVERSITY,) Division No. 1 CATHERINE M. WITTGEN,) M.D. and CARL A. FREEMAN, M.D.,) Defendants.

> DEPOSITION OF NIRAV PATEL, M.D., taken on behalf of the Plaintiff on February 22, 2019

Reported by Carla R. Wenzel Missouri CCR Number 908 Illinois CSR Number 084-004028

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IT IS HEREBY STIPULATED AND AGREED by and between Counsel for the Plaintiff and Counsel for the Defendants that this deposition may be taken in shorthand by CARLA R. WENZEL, CCR, CSR, and afterwards transcribed into typewriting, and that the signature of the witness was not waived by agreement and consent in my presence. NIRAV PATEL, M.D., of lawful age, being produced, sworn, and examined on the part of the Plaintiff, deposes and says: DIRECT EXAMINATION QUESTIONS BY MR. BOHM: Would you state your name for the record. Q. Nirav Patel. N-I-R-A-V, last name Α. P-A-T-E-L. Ο. Okay. And by whom are you employed? St. Louis University. Α. Are you employed by SSM St. Louis Q. University Hospital as well? Α. I am not. Q. Okay. And what is your position at St. Louis University? At St. Louis University I'm an assistant professor of internal medicine.

1 Α. That was a two-year fellowship. 2 And where was that? Q. A. That was at Cedars-Sinai at the West Los 3 Angeles VA Hospital, at Olive View UCLA Medical 4 5 Center. 6 Q. And so you would have completed that in 2012? 7 A. Yes. 8 9 And what did you do after that? Concurrent to some of that was working as 10 a tele-ICU physician at Banner Medical Center doing 11 12 ICU work. And then after that I came to St. Louis University. 13 14 Q. Okay. You've been employed at St. Louis 15 University ever since? 16 A. Yes. 17 Q. And have you had any leadership positions 18 within the Internal Medicine Department at St. 19 Louis University? 20 A. I have not. 21 Q. Okay. So you've never been a program 22 director? 23 A. I have not. 24 Q. Okay. When did you become the chief 25 medical officer at SSM?

1 MS. BLAISDELL: Objection. Calls for 2 information covered by the peer-review privilege 3 and the Patient Safety, Quality and Improvement Act. To that extent, I'm instructing you not to 4 5 answer the question. 6 (By Mr. Bohm) Did you discuss this with Dr. Rice? 7 MS. BLAISDELL: Same objection. 8 9 Α. (By the Witness) I'm choosing not to 10 answer. 11 Q. Do you believe your discussion with Dr. 12 Rice about her complaint is covered by peer-review 13 privilege? 14 MS. DRAFAHL: I'm going to object as 15 calling for a legal conclusion. 16 MS. BLAISDELL: Same objection. 17 Q. (By Mr. Bohm) I'm asking you whether you 18 believe it's covered by peer-review privilege. I 19 don't believe that's the same objection. I don't 20 believe that his answering that has anything to do 21 with the peer-review privilege. 22 MS. BLAISDELL: Objection. It calls 23 for a legal conclusion. 24 (By the Witness) So let me answer by 25 saying our process is to -- regardless of input

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1 into the peer-review process, once an event or a 2 complaint enters the process, the investigation 3 that occurs involves discussions with any number of parties that would be able to potentially provide 5 information about that situation. 6 So, for example, we might talk to a nurse, 7 we might talk to staff members, we might talk to the person putting in the complaint, we might talk 9 to the physician or whoever the complaint was about as part of that investigation. So, again, the routine for us would be for me or one of the patient safety and quality coordinators or the peer-review chair or whoever was reviewing the case as our level 1 reviewer in peer-review to appropriately ask the questions to individuals that might have knowledge about a particular situation. When additional information is requested either by the peer-review committee or the MEC, then that information can also be requested by those bodies. That would be our normal process. Was Dr. Rice's complaint actually referred Q. to the peer-review committee? MS. BLAISDELL: Objection. Calls for information protected by the peer-review privilege

1 we would investigate that. 2 Did you meet with Dr. Rice to discuss this 3 complaint? MS. BLAISDELL: Objection. Calls for 4 information protected by the peer-review privilege 5 and the Patient Safety, Quality and Improvement 6 7 Act. I'm instructing you not to answer the 8 question. 9 MR. BOHM: Certify it. 10 Q. (By Mr. Bohm) You're not going to answer 11 on your Counsel's advice? 12 Α. Yes, I'm not going to answer. 13 MR. BOHM: And I take it that any 14 questions I ask him about what he and Dr. Rice 15 talked about I'm going to draw the same objection, 16 Counsel? 17 MS. BLAISDELL: To the extent it 18 concerns complaints that went through the 19 peer-review process or that concern patient safety, 20 yes. (By Mr. Bohm) Well, you're saying 21 Q. 22 the entirety of Dr. Rice's complaint went through 23 the peer-review? Is that what you're saying, Dr. 24 Patel? 25 The input into peer-review is based on Α.

1 event reporting. A complaint such as this would go 2 through the event reporting process, or events that were obtained through nursing or other complaints, 3 complaint vehicles. And so yes, we would 4 5 investigate that through our normal investigation 6 process for peer-review committee. 7 Q. Isn't it true you met with Dr. Rice on March 14th, 2018, to discuss this matter? 8 9 MS. BLAISDELL: Same objection. 10 Calls for information protected by the peer-review 11 privilege and the Patient Safety, Quality and 12 Improvement Act. I'm instructing you not to 13 answer. 14 Q. (By Mr. Bohm) You're not going to answer 15 that question? 16 I'm not going to answer that question on 17 the advice of Counsel. 18 MR. BOHM: Certify it. So if I was 19 to ask about the allegations in this complaint, I'm assuming you're going to assert the privilege, 20 Counsel, again? 21 22 MS. BLAISDELL: Depends on what your 23 questions are, I suppose. Again, to the extent it 24 involves what happened as part of the peer-review 25 process once this was submitted to peer-review

1 CERTIFICATE 2 STATE OF MISSOURI COUNTY OF ST. LOUIS 3 I, CARLA R. WENZEL, CCR, CSR, do hereby 4 certify that pursuant to Notice there came before me, 5 NIRAV PATEL, M.D., 6 who was by me first duly sworn to testify to the 7 truth and nothing but the truth of all knowledge touching and concerning the matters in controversy 8 in this cause; that the witness was thereupon examined under oath and said examination was 9 reduced to writing by me; that the signature of the witness was not waived by agreement of all parties; 10 and that this transcript is a true and correct record of the testimony given by the witness. 11 I further certify that I am neither 12 attorney nor counsel for nor related nor employed by any of the parties to the action in which this 13 deposition is taken; further, that I am not a relative or employee of any attorney or counsel 14 employed by the parties hereto or financially interested in this action. 15 IN WITNESS WHEREOF, I have hereunto 16 subscribed my name on February 26, 2019. 17 18 /s/Carla R. Wenzel Carla R. Wenzel 19 CCR #908 CSR #84-004028 20 21 22 23 24 25